



## *A G E N D A*

SANTA BARBARA COMMUNITY COLLEGE DISTRICT

Thursday, February 16, 2012

CITIZENS' BOND OVERSIGHT COMMITTEE MEETING  
4:00 pm

Luria Conference and Press Center, Room 1  
Santa Barbara City College  
721 Cliff Drive

1. Call to order
2. Public comments
3. Approval of Minutes from the November 8, 2011 meeting (Attachment 1)
4. Audit Report (Attachment 2)
5. Project updates; including costs (Attachment 3)
6. Annual Report (Attachment 4)
7. New Student and new SB County Tax Payer's Association representatives
8. Next meeting is scheduled for Thursday, June 21, 2012



## *MINUTES*

### SANTA BARBARA COMMUNITY COLLEGE DISTRICT

Tuesday, November 8, 2011

### CITIZENS' BOND OVERSIGHT COMMITTEE MEETING

4:00 pm

Luria Conference and Press Center, Room 3  
Santa Barbara City College  
721 Cliff Drive

1. Call to order

Ed Heron called the meeting to order.

Committee members present:

Ed Heron, Chair

Joe Bailey

Sally Green

Mark Levine

Lee Moldaver

Others present for all or a portion of the meeting:

Dr. Jack Friedlander, Acting Superintendent/President

Joe Sullivan, Vice President Business Services

Julie Hendricks, Director Facilities and Campus Development

Steve Massetti, URS Project Manager

2. Public comments

No citizen expressed a wish to address the committee.

3. Approval of Minutes from the June 16, 2011 meeting (Attachment 1)

Upon motion by Lee Moldaver, seconded by Sally Green, the Committee approved the minutes of June 16, 2011 with the changes requested.

4. Status of Measure V Projects (Attachment 2)

Steve Massetti provided highlights from the Measure V Projects report. Drama/Music project is nearly complete and it is anticipated that it will be completed by the first week

of December 2011. The Keyless Entry Phase 1 is complete and Phase II is underway. Keyless locks will be added to the IDC building, Student Services building, parts of the Administration building, and to four doors in Drama/Music building. The Physical Education building door replacement has been recently completed and the damaged doors have been replaced. The Humanities building is the next big project that will be undertaken. The design for the Humanities building has been submitted to the Division of the State Architect (DSA) for review. We anticipate receiving DSA approval by the end of this year, which would enable us to go out to bid for this project in Spring 2012, and begin construction in Summer 2012. The swing space currently used for the Drama/Music building project will be available to relocate the Humanities building classrooms, labs and offices during the remodel. The Campus Center improvements are being done in a two-phase approach. The forensic analysis has been conducted and it showed that the building has significant problems, including the deterioration of the rebar in certain structural slabs. It was requested that further analysis be completed for this project. The second analysis showed that there were problems, but not as drastic as originally determined. After discussion with the Facilities Committee it was decided to take a different approach and that was to talk with the State Chancellor's Office to discuss the possibility of changing the categorization of the building and possibly get some state funding for the construction of the instructional part of the building. What is also now being considered for this project is whether or not to complete a modernization of this building or to tear it down and have it rebuilt.

The Campus-wide Toilet Replacement project has been completed. The college is waiting for the rebate check for this project from the City of Santa Barbara. Mr. Massetti provided a short update on the other improvement projects noted in the report.

The question was asked if there was a time limit on the Measure V projects. Mr. Massetti noted that there is no time limit on the projects, but there is on each take down of the bond. Any public agency that takes down bond funding should use the funds as quickly as possible to pay for the construction projects and it shouldn't be used to earn interest. The rule is that the agency should have a reasonable expectation that 80% of the funds would be spent in three years. At the time the District took down the initial bond it had anticipated to go forward with the SoMA project. Subsequently, the state cut the funding by \$10 million and the District then had to cancel the SoMA project. Prior to making this decision, it was reasonable to expect that 80% of the funds would have been spent. Since we did not proceed with the SoMA building project, the 80% of the funds we drew down from the bond measure have not been spent. The district has not earned any large amounts of interest on the funding and the district was acting reasonably when the initial bond was taken down.

#### 5. Expenditure Reports (Attachment 3)

Steve Massetti reported that this information is for fiscal year to date. Pointed out that the amounts in this report are for what was spent this fiscal year and doesn't show what was spent last year or for the coming year. A report will be presented at the February meeting that will provide a clearer picture as to project costs to date.

#### 6. Draft Measure V Annual Report (Attachment to be distributed at meeting.)

Steve Massetti presented a draft copy of the Bond Measure V Citizens' Oversight Committee's 2011 Annual Report. The same format is being used and content changes would be made and inserted before the February meeting. The committee will review the final report at its February meeting before it goes to the February board meeting for Board approval.

7. Expiration of Committee Members' Terms:
  - a. Lanny Ebenstein, Taxpayer Organization (Second Term)
  - b. Student Member

Ed Heron reported that Lanny Ebenstein's position has expired and he cannot be re-elected, his position will be advertised in the local media. The student member will be elected by the Student Senate and that information will be available at the next meeting.

8. Questions to Staff

Ed Heron asked the staff the following questions:

- Were there any administrative salaries paid from Measure V funds. Mr. Massetti responded no, however, Tom Garey is receiving a stipend for his work on this project and now that he has retired Mr. Garey will be hired as a consultant.
- Were there any monies spent on non- Measure V projects and the answer from Mr. Massetti was no and the other staff members present agreed with the statement.

9. Ed Heron adjourned this meeting and the next scheduled meeting is set for Thursday, February 16, 2012.

**Santa Barbara Community College District  
Measure V Bond Construction Fund  
Financial Statements  
and  
Agreed-Upon Procedures  
Year Ended June 30, 2011**

**Santa Barbara Community College District  
Measure V Bond Construction Fund  
Year Ended June 30, 2011**

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**GLENN BURDETTE**  
CERTIFIED PUBLIC ACCOUNTANTS

## Independent Auditors' Report

Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
Santa Barbara, California

We have audited the balance sheet as of June 30, 2011 and the related statement of revenues, expenditures, and changes in fund balance of the Measure V General Obligation Bonds (the Bond Construction Fund) of the Santa Barbara Community College District (the District) for the fiscal year ended June 30, 2011, as required by Proposition 39. These financial statements are the responsibility of the Santa Barbara Community College District's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the Measure V Bond Construction Fund of the Santa Barbara Community College District as of June 30, 2011, and the results of its operations for the year then ended, in conformity with accounting principles generally accepted in the United States of America.

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**Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
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In accordance with *Government Auditing Standards*, we have also issued our report dated November 10, 2011, on our consideration of the Santa Barbara Community College District's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audit.



Glenn Burdette  
Certified Public Accountants  
San Luis Obispo, California

November 10, 2011



**Santa Barbara Community College District**  
**Measure V Bond Construction Fund**  
**Balance Sheet**  
**June 30, 2011**

**Assets**

Cash in county treasury	\$ 23,327,446
Accounts receivable	<u>43,146</u>
Total assets	<u>\$ 23,370,592</u>

**Liabilities and Fund Balance**

**Liabilities:**

Accounts payable and accrued liabilities	\$ 2,631,081
Due to other funds	<u>8,426</u>
Total liabilities	<u>2,639,507</u>

**Fund balance:**

Restricted	<u>20,731,085</u>
Total fund balance	<u>20,731,085</u>

Total liabilities and fund balance	<u>\$ 23,370,592</u>
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*The accompanying notes are an integral part of the financial statements.*

**Santa Barbara Community College District**  
**Measure V Bond Construction Fund**  
**Statement of Revenues, Expenditures, and**  
**Changes in Fund Balance**  
**June 30, 2011**

<b>Revenues:</b>	
Local revenue	\$ 223,992
Total revenues	<u>223,992</u>
<b>Expenditures:</b>	
Academic salaries	22,687
Employee benefits	2,764
Books and supplies	2,754
Services and other operating expenditures	144,055
Capital outlay	<u>14,621,923</u>
Total expenditures	<u>14,794,183</u>
Excess of expenditures over revenues	(14,570,191)
<b>Fund balance - beginning of year</b>	<u>35,301,276</u>
<b>Fund balance - end of year</b>	<u>\$ 20,731,085</u>

*The accompanying notes are an integral part of the financial statements.*

**Santa Barbara Community College District**  
**Measure V Bond Construction Fund**  
**Notes to the Financial Statements**  
**June 30, 2011**

**Note 1: Measure V Bond Construction Fund Background**

The Board of Trustees of the Santa Barbara Community College District (the District) has established a Citizens' Bond Oversight Committee to ensure that the proceeds of the Measure V General Obligation Bonds issuance are used for the purposes stated in the resolution which placed Measure V on the 2008 ballot. The Measure V General Obligation Bonds initiative authorized the issuance of \$77,000,000 in bonds. The proceeds from the bonds are to be used for projects such as modernization of deteriorated classrooms, buildings, laboratories and instructional equipment and the construction of new classrooms and laboratories; expansion, renovation or conversion of existing space to expand existing vocational and continuing education training programs; technology upgrades, improvements for campus safety and repair; replace and upgrade electrical and mechanical system. All projects to be funded under the Measure V Bond Construction Fund must be included in the Board of Trustees' approved Long Range Facilities Plan, which details the scope of work to be done for each project. The bond proceeds and project costs are accounted for in the District's Bond Construction Fund. The District has established a Bond Charging Policy to outline the allowable expenditures for the Measure V Bond Construction Fund's related costs. Such policies specifically state that no funds will be spent for teacher or administrator salaries or for operating expenses.

All projects are managed by approved District Program Managers. Program Managers are responsible for managing all program-related activities, including the maintenance of the District's master schedule to the master program budget.

**Note 2: Summary of Significant Accounting Policies**

**Basis of Presentation**

The accounting and financial treatment applied to the Measure V Bond Construction Fund is determined by its measurement focus. The Measure V Bond Construction Fund is a governmental fund and is accounted for using a flow of current financial resources measurement focus. With this measurement focus, only current assets and current liabilities are generally included on the balance sheet. The statement of revenues, expenditures, and changes in fund balances for this fund presents increases (i.e., revenues and other financing sources) and decreases (i.e., expenditures and other financing uses) in net current assets.

**Fund Accounting**

The operations of the Measure V Bond Fund are accounted for with a separate set of self-balancing accounts that comprise its assets, liabilities, fund equity, revenues, and expenditures. District resources are allocated to and accounted for in individual funds based upon the purpose for which they are to be spent and the means by which spending activities are controlled.

**Santa Barbara Community College District**  
**Measure V Bond Construction Fund**  
**Notes to the Financial Statements**  
**June 30, 2011**  
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**Note 2: Summary of Significant Accounting Policies (Continued)**

**Cash in County Treasury**

In accordance with Education Code Section 41001, the District maintains substantially all of its cash in the Santa Barbara County Treasury, an external investment pool. The County pools these funds with those of other governmental entities in the county and invests the cash. These pooled funds are carried at fair value. Interest is earned based on average daily balance and is deposited quarterly into participating funds. Any investment gains and losses are proportionately shared by all funds in the pool. The County is restricted by the California Government Code to invest in obligations issued by the United States Treasury; obligations, participations, or other instruments of or issued by a federal agency or a United States government sponsored enterprise; obligations of state and local agencies of this State; bankers acceptances; commercial paper; negotiable certificates of deposit; repurchase agreements; medium-term corporate notes; as well as other investments established by the California Government Code. The County's report discloses the required information in accordance with Governmental Accounting Standards Board Statements No. 3 and 40. Pooled investments are not required to be categorized by risk category.

**Fund Balance**

Fund balance for governmental funds is reported in classifications that comprise a hierarchy based on the extent to which the District are bound to honor constraints on the specific purpose for which amounts in the funds can be spent. Fund balance is reported in five components in accordance with GASB 54 – nonspendable, restricted, committed, assigned, and unassigned. The District has not formally adopted a spending policy regarding the order in which restricted, committed, assigned, and unassigned fund balances are spent when more than one amount is available for a specific purpose. The components applicable to the District's Measure V Bond Construction as of June 30, 2011 are as follows:

*Restricted* - The portion of fund balance representing resources subject to externally imposed and legally enforceable constraints imposed either by external resource providers, e.g. grantors or creditors, or by law through constitutional provisions or enabling legislation.

**Note 3: Excess of Expenditures and Other Uses Over Appropriations**

There was no excess of expenditures over appropriations in the Measure V Bond Construction Fund as of June 30, 2011.

**Santa Barbara Community College District**  
**Measure V Bond Construction Fund**  
**Notes to the Financial Statements**  
**June 30, 2011**  
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**Note 4: General Obligation Bonds**

On June 3, 2008, \$77,242,012 in General Obligation Bonds were authorized by an election held within the Santa Barbara Community College District. On December 11, 2008, \$47,000,000 of the general obligation bonds were sold under Proposition 39 (Measure V), which provides that proceeds of the bonds will be used to acquire, construct, renovate, furnish and equip school facilities and grounds, and make Santa Barbara Community College District eligible for millions in State matching funds.

The outstanding general obligation bonded debt for the Measure V Bond Construction fund of the District at June 30, 2011 was as follows:

<u>Date of Issue</u>	<u>Interest Rate</u>	<u>Maturity Date</u>	<u>Amount of Original Issue</u>	<u>Bonds Outstanding June 30, 2010</u>	<u>Issued During Year</u>	<u>Redeemed During Year</u>	<u>Bonds Outstanding June 30, 2011</u>
2008	3.50% - 5.25%	2034	\$ 47,000,000	\$ 45,940,000	\$ -	\$ 1,035,000	\$ 44,905,000

At June 30, 2011, the annual requirements to amortize the above general obligation bonds outstanding were as follows:

<u>For the Year Ending June 30,</u>	<u>Principal</u>	<u>Interest</u>	<u>Total</u>
2012	\$ 100,000	\$ 2,321,450	\$ 2,421,450
2013	180,000	2,316,550	2,496,550
2014	285,000	2,308,056	2,593,056
2015	445,000	2,293,813	2,738,813
2016	565,000	2,272,906	2,837,906
2017-2021	5,440,000	10,645,825	16,085,825
2022-2026	10,410,000	8,619,000	19,029,000
2027-2031	15,750,000	5,246,062	20,996,062
2032-2034	11,730,000	945,788	12,675,788
Total	<u>\$ 44,905,000</u>	<u>\$ 36,969,450</u>	<u>\$ 81,874,450</u>



**GLENN BURDETTE**  
CERTIFIED PUBLIC ACCOUNTANTS

**Independent Auditors' Report on Internal Control Over Financial Reporting and  
on Compliance and Other Matters Based on an Audit of Financial Statements  
Performed in Accordance with Government Auditing Standards**

Board of Trustees  
The Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
Santa Barbara, California

We have audited the financial statements of the Measure V General Obligation Bonds (the Bond Construction Fund) of the Santa Barbara Community College District (the District) as of and for the year ended June 30, 2011, and have issued our report thereon dated November 10, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Internal Control Over Financial Reporting**

In planning and performing our audit, we considered the District's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the District's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the District's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

**Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
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Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the District's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

This report is intended solely for the information and use of the Board of Trustees, management, the Citizens' Bond Oversight Committee, the California Department of Education, the State Controller's Office, the California Department of Finance and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

**GLENN BURDETTE**

Glenn Burdette  
Certified Public Accountants  
San Luis Obispo, California

November 10, 2011

**Santa Barbara Community College District  
Measure V Bond Construction Fund  
Schedule of Audit Findings and Questioned Costs  
Year Ended June 30, 2011**

There were no findings and questioned costs related to the financial audit of the Measure V Bond Construction fund for the fiscal year ended June 30, 2011.



**Santa Barbara Community College District**  
**Measure V Bond Construction Fund**  
**Summary Schedule of Prior Year Audit Findings - June 30, 2010**  
**Year Ended June 30, 2011**

There were no findings and questioned costs related to the financial audit of the Measure V Bond Construction Fund for the fiscal year ended June 30, 2010.

## **Agreed-Upon Procedures**



**GLENN BURDETTE**  
CERTIFIED PUBLIC ACCOUNTANTS

## **Independent Accountants' Report on Applying Agreed-Upon Procedures**

Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
Santa Barbara, California

At your request, we have performed the procedures enumerated below for the Measure V General Obligation Bonds requirements administered by the Santa Barbara Community College District (the District). The tasks we undertook were agreed to by the District's officials and were performed solely to assist the District and its management in fulfilling its oversight responsibility surrounding the administration of the District's Measure V Bond Construction Program under Proposition 39 requirements for the year ended June 30, 2011. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

Our procedures and findings are as follows:

### **A. Procedure**

*Review compliance with the expenditure provision/restrictions in the Measure V Bond Issuance, including testing that teacher and administrative salaries, and operating costs have not been charged against Measure V monies.*

### **Results**

We obtained and inspected the expenditure provisions/restrictions imposed by the Measure V Bond Issuance. Of the transactions we tested (See Procedures C-G below), we noted that the District was in compliance with the expenditure provisions/restrictions in the Measure V Bond Construction Program.

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**Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
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**B. Procedure**

*Reconcile Measure V Bond Issuance project costs of the Santa Barbara Community College District General Obligation Bonds, Election of 2008 as of June 30, 2011, with the general ledger prepared by Santa Barbara Community College District staff.*

**Results**

We obtained the general ledger prepared by District staff and reconciled it to the Measure V Bond Construction Fund's project costs for the year ended June 30, 2011.

We obtained the total project costs summarized in the Banner Financial Reports (Banner) for the District's Bond Construction Fund as of and for the year ended June 30, 2011 and compared them to the District's expenditures for the same time period. We noted no exceptions.

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**C. Procedure**

*Haphazardly select fifteen (15) expenditures and test the appropriateness of the classification to the project list of the Measure V Bond Issuance list of projects and that the project was listed in the Bond approved by the voters.*

**Results**

Utilizing a listing of Measure V Bond Construction Fund expenditures provided to us by the District's management, we compared fifteen (15) Measure V expenditures (object codes 10-60) to Measure V expenditure classifications (projects and programs). We further reviewed the project list approved by voters for the Bond and ensured that expenditures related to a project approved by voters.

Based on the testwork performed, we noted no exceptions.

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**D. Procedure**

*Select five (5) change orders and review for appropriate authorization.*

**Results**

We selected five (5) change orders noting appropriate authorization by the District's board.

Based on the testwork performed, we noted no exceptions.

**Board of Trustees and**

**Citizens' Bond Oversight Committee**

**Santa Barbara Community College District**

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**E. Procedure**

*Prepare a schedule of all costs incurred between July 1, 2010 and June 30, 2011, by project, for the Measure V Bond funding.*

**Results**

<u>Project Number</u>	<u>Project Description</u>	<u>Expenditures Incurred</u>
4600	Bond Administration	\$ 932,639
6555	Horticulture Fencing and Path ADA	46,494
6561	Paint IDC and Bus Comm	158,944
6567	High Tech School of Media Arts	(350,955)
6568	Multi-Disciplinary Building	5,250
6576	East Campus All-Weather Bus Stop	2,500
6582	Drama Music Modernization	8,980,518
6586	Luria Conference and Press Center	199,011
6587	Bridge Seismic Eval and Repairs	4,446
6611	Install Electronic Locks	12,957
6637	Early Learning Ctr. Modernization	99,492
6639	Pigeon Decontamination	64,525
6643	Repair and Refinish Trellis	164,105
6644	Perishing Park Softball Upgrade	569,146
6646	Replace Doors Sports Pavilion	58,146
6647	Replace HVAC Units ECC1-15	40,000
6649	Landscape Ramps, 3rd Floor La Playa	3,921
6651	PE Recarpet Team/Locker Rooms	8,228
6655	PE Exterior Paint	82,683
6660	Emergency Notification System	28,391
6663	LRC Remodel Phase I	3,974
6667	PE Upstairs Locker Room	2,290
6672	ECC and ESL	61,964
6675	Replace Urinals, Toilets and Fountains	319
6677	La Playa Track and Field Replacement	1,102,536
6681	Snack Shop West Campus	382
6682	Student Services Replace Carpet	25,034
6684	HRC, MDT, Admin Elevator Upgrade	64,970
6685	Upgrade Emergency Phone System	11,664
6686	Oak Restoration Video Surveillance	40,601
6687	Wake Cosmetology Conversion	327
6688	Wake - Resurface Parking Lot	121,545
6994	Generator Supported Services	61,291
6695	GDR Interior Upgrade	59,306
6697	Energy Management System Phase II	558,778
6698	East Campus Water Systems Upgrade	56,088

**Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
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<u>Project Number</u>	<u>Project Description</u>	<u>Expenditures Incurred</u>
6699	Network Infrastructure	\$ 485,000
6700	Humanities Modernization	899,207
6701	Portable Building Permitting	33,839
6702	Campus Center Modernization	92,496
6703	Parking Pay Stations.	2,131
		<u>\$ 14,794,183</u>

**F. Procedure**

*Prepare a schedule of all projects started and/or completed since the inception of the bond and the total costs incurred from inception of the bond.*

**Results**

<u>Project Description</u>	<u>Total Incurred Expenditures</u>
Bond Administration	\$ 2,207,225
Horticulture Fencing and Path ADA	46,494
Paint IDC and Bus Comm	158,944
High Tech School of Media Arts	660,228
Multi-Disciplinary Building	5,250
East Campus All-Weather Bus Stop	2,500
Drama Music Modernization	9,556,154
Luria Conference and Press Center	1,202,618
Bridge Seismic Eval and Repairs	4,512,224
Portable Building Swing Space	1,467,475
Install Electronic Locks	70,494
Update ADA Compliance	6,918
EBS Hazardous Materials Storage	11
Early Learning Ctr. Modernization	185,356
Pigeon Decontamination	64,525
Replace Bleacher Seating La Playa	2,205
Repair and Refinish Trellis	164,307
Perishing Park Softball Upgrade	610,450
Upgrade Energy Mgmt. System	202,622
Replace Doors Sports Pavilion	59,340
Replace HVAC Units ECC1-15	56,140
Landscape Ramps, 3rd Floor La Playa	83,082
PE Paint Hallways 1st and 2nd Floors	24,418
PE Recarpet Team/Locker Rooms	8,228
Sports Pavilion Paint	7,700

**Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
Page 5**

<u>Project Description</u>	<u>Total Incurred Expenditures</u>
PE Exterior Paint	\$ 82,683
Emergency Notification System	28,391
LRC Remodel Phase I	5,971
MDT Resurface Driveway	36,966
MDT New Carpet	8,519
PE Upstairs Locker Room	2,290
OE 180 Replace Heating System	24,764
Campus Center Repair Columns	4,144
ECC and ESL	61,964
Replace Urinals, Toilets and Fountains	319
La Playa Track and Field Replacement	2,332,358
Snack Shop West Campus	382
Student Services Replace Carpet	25,034
HRC, MDT, Admin Elevator Upgrade	121,213
Upgrade Emergency Phone System	65,302
Oak Restoration Video Surveillance	42,401
Wake Cosmetology Conversion	502
Wake - Resurface Parking Lot	126,616
Generator Supported Services	108,822
GDR Interior Upgrade	75,846
Physical Science Repair Columns	63
Energy Management System Phase II	959,502
East Campus Water Systems Upgrade	67,608
Network Infrastructure	500,000
Humanities Modernization	1,034,312
Portable Building Permitting	53,459
Campus Center Modernization	164,345
Parking Pay Stations	128,977
ECC Exterior Paint	15,251
	<u>\$ 27,442,912</u>

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**G. Procedure**

*Calculate the balance available for expenditure of bond proceeds related to the approved projects within the Bond Construction Fund at June 30, 2011. (This will include a review of the open contracts, notices of completion and retention balances, and analysis of the work in process.)*

**Board of Trustees and  
Citizens' Bond Oversight Committee  
Santa Barbara Community College District  
Page 6**

**Results**

**Fund 42**

Beginning fund balance	\$ 35,301,276
Current period interest revenue	223,992
Expenditures (July 1, 2010 through June 30, 2011)	<u>(14,794,183)</u>
Calculated fund balance at June 30, 2011	<u>\$ 20,731,085</u>

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We were not engaged to, and did not, conduct an audit, the objective of which would be the expression of an opinion on the District's administration of the Measure V Bond Construction Program. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the Board of Trustees and management of the Santa Barbara Community College District, and the Citizen's Bond Oversight Committee, and is not intended to be, and should not be, used by anyone other than these specified parties.

**GLENN BURDETTE**

Glenn Burdette  
Certified Public Accountants  
San Luis Obispo, California

November 10, 2011



## MEASURE V PROJECT STATUS REPORT

Through February 2012

### Project Status:

#### #6582 Drama/Music Modernization:

- The facility was placed back into use for classes beginning January 23, at the start of the Spring Semester and the Garvin Theatre will be unveiled at the Gala Opening event, planning for which is now underway.
- The majority of the project is complete and accepted, with minor exceptions for close-out and punchlist items, as well as some A/V equipment and elevators, which are pending inspection by the state elevator inspector, but which are not preventing the building from being used.
- The project was significantly delayed due to several unforeseen conditions, including unknown conduits conflicting with the proscenium walls in the Garvin Theater, differing soil conditions which slowed caisson drilling, and unanticipated asbestos requiring abatement, as well as by other factors related to design issues and contractor/subcontractor performance.
- The Drama and Music Departments began moving equipment and furnishings and props into the building in late December 2011, upon completion of the Fall 2011 Semester, and continued throughout the winter break.

#### #6611 Keyless Entry/Electronic Locks:

- Phase I implementation is complete. Locks have been installed and are working properly.
- Phase II analysis and design are underway, along with implementation in certain areas. Project Management Team (PMT) is coordinating with Security and Information Technology (IT) regarding locations and quantity. IT and Security will coordinate regarding training and access lists for each building as the projects are completed. The next buildings to receive exterior electronic locks will be the Student Services Building, the Interdisciplinary Center, and portions of the Administration Building.
- Electronic locks have been added to the Luria Conference and Press Center, are being added to Drama/Music, and will be included in the scope of work for the Humanities and Campus Center projects. Electronic locks have also been installed at portions of the Physical Education Building as part of the door and hardware replacement project.

#### #6700 Humanities Building Improvements:

- The College has hired a design consultant, WWCOT/DLR Group, for this project.
- The Board of Trustees approved a two-phase approach to the design of this project.
- The project was submitted to DSA in November 2010 and has now received approval.
- It is anticipated that the project will begin in late Summer 2012, upon completion of swing space improvements and moving the users out of the existing building.
- Multiple user-group and design development meetings have been held. Users in attendance provided input on the proposed design.
- Project #6698, East Campus Water System Upgrades, will be incorporated into this project.
- The College has selected O'Connor Construction Management, Inc. to perform a constructability review analysis on the plans for the modernization.
- The College is in the process of selecting a Construction Management firm to provide construction management of the project.

#### #6702 Campus Center Improvements:

- The Board of Trustees approved a Phase I design agreement with Steinberg Architects, consisting of a forensic investigation of the existing facility and cost estimate. The design team met with College staff and completed the investigative phase of design services.



## MEASURE V PROJECT STATUS REPORT

Through February 2012

- While on site, the design team met with users to discuss current needs and building deficiencies.
- Testing revealed that the structural slab and column foundations are in need of repair and will necessitate significant additional structural work, which was not anticipated in project planning and budgeting.
- Assuming that the project is designed, then reviewed and approved by DSA in a timely manner, and pending swing space availability, it is anticipated that the project will begin after the completion of the Humanities project. If, however, the College is successful in seeking additional funding from the State, the overall project schedule would be impacted.
- The Board of Trustees has approved an agreement with PMSM to perform further preliminary evaluation of the building and prepare a feasibility study and prepare and submit a Final Project Proposal for State review.
- An estimated budget has been developed, based upon the preliminary findings and design assumptions from Steinberg and, as previously reported, the cost estimate for the required work will significantly exceed the budgeted funding for this project.

### #6681 West Campus Snack Shop

- The intent of this project is to improve the flow and usability of the West Campus Snack Shop. The project will reorient the layout and convert inside seating area into additional space for product display and sales.
- The budget for this interior improvement project is \$300,000, funded by Measure V.
- The District requested statements of qualifications in April 2011. After reviewing the statements of qualifications and interviewing several firms, the District selected the local firm of Bildsten and Sherwin, which had recently completed the design of the Gourmet Dining Room Interior Upgrade project.
- Upon scope clarification and confirmation from DSA that the project does not require DSA review, the District requested a proposal from Bildsten and Sherwin.
- The project is currently under design.

### OTHER RECENTLY COMPLETED AND ONGOING/UPCOMING MEASURE V CAMPUS IMPROVEMENT PROJECTS:

- #6646 Physical Education Building Door Replacement (Construction Complete)
- #6648 Physical Education Locker Replacement (Construction Complete)
- #6555 Horticulture Area Improvements (Portions Complete – Bidding Underway)
- #6659 Patio Repair at Gym Entrance (Analysis Underway)
- #6660 Emergency Notification System (Construction and Installation Complete)
- #6663 LRC Remodel (Design Underway)
- #6675 Toilet Replacement (Construction Complete, Rebate Received)
- #6686 Video Surveillance, Oak Restoration Area (Construction Completed, In Use)
- #6687 Wake Center Cosmetology Conversion (Design Underway)
- #6697 Campus-Wide EMS Ph 2 (Under Construction)
- #6722 Humanities Swing Space (Bidding Underway)

**SANTA BARBARA COMMUNITY COLLEGE DISTRICT**  
**MEASURE V BOND FUND**  
**REVENUE and EXPENDITURES**  
**As of 12/31/2011**

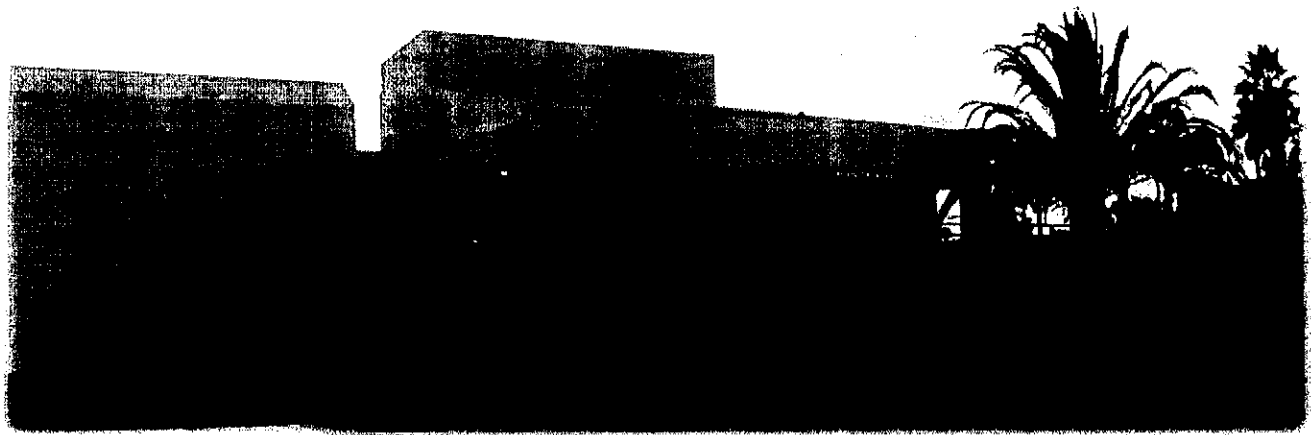
	BUDGET	ACTUALS					TOTAL as of 12/31/2011	Remaining BUDGET after Expenditures	Encumbrances	Remaining BUDGET after Expenditures and Encumbrances	Project Complete
		YTD 6/30/2009	YTD 6/30/2010	YTD 6/30/2011	YTD 12/31/2011						
<b>REVENUE</b>											
Bond proceeds	47,000,000.00	47,000,000.00				47,000,000.00	0.00		0.00		
Interest	1,277,698.00	466,268.00	483,738.00	223,992.00	62,795.35	1,236,793.35	40,904.65		40,904.65		
<b>TOTAL REVENUE</b>	<b>48,277,698.00</b>	<b>47,466,268.00</b>	<b>483,738.00</b>	<b>223,992.00</b>	<b>62,795.35</b>	<b>48,236,793.35</b>	<b>40,904.65</b>	<b>0.00</b>			
<b>EXPENDITURES</b>											
4600 - Bond Administration	2,678,625.20	435,566.38	839,019.93	932,638.89	361,417.68	2,568,642.88	110,982.32	0.00	110,982.32		
6531 - Air Handler Student Services	125,000.00	0.00	0.00	0.00	0.00	0.00	125,000.00	0.00	125,000.00		
6555 - Horticulture Fencing And Path ADA	90,000.00	0.00	0.00	46,493.92	0.00	46,493.92	43,506.08	0.00	43,506.08		
6561 - Paint IDC & Bus Comm	180,000.00	0.00	0.00	158,944.31	8,200.00	167,144.31	12,855.69	0.00	12,855.69		
6567 - High Tech School of Media Arts	665,477.17	908,283.49	102,898.93	(345,705.25)		665,477.17	0.00	0.00	0.00	X	
6576 - East Campus All-Weather Bus Stop	2,500.00	0.00	0.00	2,500.00		2,500.00	0.00	0.00	0.00	X	
6582 - Drama Music Modernization	19,249,964.00	895,726.80	(320,090.85)	8,980,518.11	6,253,186.88	15,809,340.94	3,440,623.06	164,668.36	3,275,954.70		
6596 - Luna Conference and Press Center	1,246,459.31	235,817.57	767,789.43	199,010.63	0.00	1,202,617.63	43,841.68	0.00	43,841.68		
6587 - Bridge Seismic Eval and Repairs	4,575,224.34	50,572.10	4,457,206.24	4,446.00	3,42.05	4,512,566.39	62,657.95	9,775.95	52,882.00		
6599 - Portable Building Swing Space	1,467,475.49	1,371,717.16	95,758.33			1,467,475.49	0.00	0.00	0.00	X	
6611 - Install Electronic Locks	300,000.00	29,402.28	28,135.17	12,957.24	23,158.94	93,653.63	206,346.37	10,989.53	195,356.84		
6619 - Update ADA Compliance	6,917.56	6,917.56	0.00	0.00		6,917.56	0.00	0.00	0.00		
6633 - EBS Hazardous Materials Storage	10.88	0.00	10.88			10.88	0.00	0.00	0.00	X	
6637 - Early Learning Ctr. Modernization	185,355.66	9,051.90	76,811.89	99,491.87		185,355.66	0.00	0.00	0.00	X	
6638 - Cafeteria Grease Trap & GDR Drains	75,000.00	0.00	0.00	0.00	475.00	475.00	74,525.00	0.00	74,525.00		
6639 - Pigeon Decontamination	64,524.56	0.00	0.00	64,524.56		64,524.56	0.00	0.00	0.00	X	
6640 - Replace Bleacher Seating LaPlaya	2,204.76	2,204.76	0.00			2,204.76	0.00	0.00	0.00		
6644 - Pershing Park Softball Upgrade	164,307.06	0.00	201.93	164,105.13		164,307.06	0.00	0.00	0.00	X	
6645 - Upgrade Energy Mgmt System	630,450.20	0.00	41,304.05	568,146.15	7,724.53	618,174.73	12,275.47	8.59	12,266.88		
6646 - Replace Doors Sports Pavilion	202,621.89	0.00	0.00	0.00		202,621.89	0.00	0.00	0.00	X	
6647 - Replace HVAC units ECC1-15	180,864.55	0.00	1,194.00	58,146.29	58,619.66	117,959.95	62,904.60	62,904.60	0.00	X	
6648 - Replace Locker room lockers	56,139.57	0.00	16,139.57	40,000.00		56,139.57	0.00	0.00	0.00	X	
6649 - Landscape ramps, 3rd Flr La Playa	40,000.00	0.00	0.00	0.00	34,887.90	34,887.90	5,112.10	4,000.00	1,112.10		
6650 - PE-paint hallways 1st & 2nd floors	83,082.12	26,181.96	52,979.45	3,920.71		83,082.12	0.00	0.00	0.00	X	
6651 - PE recarpet team/locker rooms	24,418.29	0.00	24,418.29			24,418.29	0.00	0.00	0.00	X	
6653 - Sports Pavilion handrail walkway	8,227.92	0.00	0.00	8,227.92		8,227.92	0.00	0.00	0.00	X	
6655 - PE - paint exterior	7,700.00	0.00	7,700.00			7,700.00	0.00	0.00	0.00	X	
6659 - PE - Repair patio at Gym entry	82,883.11	0.00	0.00	82,883.11		82,883.11	0.00	0.00	0.00	X	
6660 - Emergency Notification System	50,000.00	0.00	0.00	0.00		0.00	50,000.00	0.00	50,000.00		
6662 - LRC Heating install reheast system	270,000.00	0.00	0.00	28,391.20		28,391.20	241,608.80	234,903.00	6,705.80		
6663 - LRC Remodel	25,000.00	0.00	0.00	0.00		0.00	25,000.00	3,500.00	21,500.00		
6665 - MDT resurface driveway	1,000,000.00	0.00	1,996.63	3,974.23	30,456.15	36,427.01	963,572.99	74,141.00	889,431.99		
6666 - MDT new carpet	36,966.00	0.00	36,966.00			36,966.00	0.00	0.00	0.00	X	
6667 - PE upstairs locker room	8,519.00	0.00	8,519.00			8,519.00	0.00	0.00	0.00	X	
6668 - OE 180 replace heating system	25,000.00	0.00	0.00	2,290.00		2,290.00	22,710.00	0.00	22,710.00		
6671 - Campus Center repair columns	24,764.00	0.00	24,764.00			24,764.00	0.00	0.00	0.00	X	
6672 - ECC & ESL Roofing	4,143.96	3,250.00	893.96			4,143.96	0.00	0.00	0.00		
6675 - Replace urinals, toilets, & fountain	61,964.56	0.00	0.00	61,964.56		61,964.56	0.00	0.00	0.00	X	
6677 - La Playa Track & Field Replacement	104,937.99	0.00	0.00	319.06	104,616.93	104,937.99	0.00	0.00	0.00	X	
6678 - Schott Ctr parking lot resurface	2,335,473.10	0.00	1,229,821.04	1,102,536.96	2,474.28	2,334,831.88	641.22	0.00	641.22		
6680 - Snack Shop East Campus	20,000.00	0.00	0.00	0.00		0.00	20,000.00	0.00	20,000.00		
6680 - Snack Shop East Campus	300,000.00	0.00	0.00	0.00		0.00	300,000.00	0.00	300,000.00		

**SANTA BARBARA COMMUNITY COLLEGE DISTRICT  
MEASURE V BOND FUND  
REVENUE and EXPENDITURES  
As of 12/31/2011**

	BUDGET	ACTUALS					TOTAL as of 12/31/2011	Remaining BUDGET after Expenditures and Encumbrances	Project Complete	
		YTD 6/30/2009	YTD 6/30/2010	YTD 6/30/2011	YTD 12/31/2011	Remaining BUDGET after Expenditures				Encumbrances
6681 -- Snack Shop West Campus	300,000.00	0.00	0.00	382.21	0.00	382.21	299,617.79	0.00	299,617.79	X
6682 -- Student Services replace carpet	46,834.61	0.00	0.00	25,033.61	21,801.00	46,834.61	0.00	0.00	0.00	X
6684 -- HRC, MDT, Admin elevator upgrade	121,213.00	56,243.00	0.00	64,970.00	0.00	121,213.00	0.00	0.00	0.00	X
6685 -- Upgrade Emergency Phone System	75,000.00	34,575.78	19,062.07	11,663.80	0.00	65,301.65	9,698.35	0.00	9,698.35	
6686 -- Oak restoration video surveillance	105,000.00	0.00	1,800.00	40,601.28	43,634.64	86,035.92	18,964.08	0.00	18,964.08	
6687 -- Wake Cosmetology Conversion	75,502.03	0.00	174.37	327.16	1,681.50	2,183.53	73,318.50	59,650.00	13,668.50	X
6688 -- Wake - resurface parking lot	126,616.09	0.00	5,071.08	121,545.01	0.00	126,616.09	0.00	0.00	0.00	X
6694 -- Generator Supported Services	108,822.05	0.00	47,531.25	61,290.80	9,579.34	108,822.05	0.00	0.00	0.00	X
6695 -- GDR Interior Upgrade	85,425.63	0.00	16,539.89	59,306.30	0.00	85,425.63	0.00	0.00	0.00	X
6696 -- Physical Science Repair Columns	60,062.97	0.00	62.97	0.00	0.00	62.97	60,000.00	0.00	60,000.00	
6697 -- Energy Management system PHASE II	1,519,475.00	0.00	400,724.00	558,778.00	478,954.00	1,438,456.00	81,019.00	0.00	81,019.00	
6698 -- East Campus Water systems Upgrade	2,000,000.00	0.00	11,520.10	56,087.83	4,002.28	71,610.21	1,928,389.79	38,375.00	1,890,014.79	
6699 -- Network Infrastructure	500,000.00	0.00	15,000.00	485,000.00	0.00	500,000.00	0.00	0.00	0.00	X
6700 -- Humanities Modernization	4,287,304.77	0.00	135,105.31	899,207.20	28,012.65	1,062,325.16	3,224,979.61	498,856.00	2,726,123.61	
6701 -- Portable Building Permitting	100,000.00	0.00	19,620.09	33,839.38	13,248.96	66,708.43	33,291.57	0.00	33,291.57	
6702 -- Campus Center Modernization	1,934,211.00	0.00	71,848.68	92,496.34	195.55	164,540.57	1,769,670.43	139,000.00	1,630,670.43	X
6703 -- Parking Pay Stations	128,977.76	0.00	128,846.25	2,131.51	0.00	128,977.76	0.00	0.00	0.00	X
6704 -- ECC Exterior Paint	15,250.84	0.00	15,250.84	0.00	0.00	15,250.84	0.00	0.00	0.00	X
6722 -- Humanities Swing Space	25,000.00	0.00	0.00	0.00	7,365.00	7,365.00	17,635.00	10,725.00	6,910.00	
<b>TOTAL EXPENDITURES</b>	<b>48,277,698.00</b>	<b>4,268,132.63</b>	<b>8,380,595.37</b>	<b>14,794,185.63</b>	<b>7,484,036.92</b>	<b>34,936,950.55</b>	<b>13,340,747.45</b>	<b>1,311,487.03</b>	<b>12,029,250.42</b>	
<b>REVENUE less EXPENDITURES</b>	<b>0.00</b>	<b>43,198,135.37</b>	<b>(7,896,857.37)</b>	<b>(14,570,193.63)</b>	<b>(7,431,241.57)</b>	<b>13,299,842.80</b>				

# **2011 Annual Report to the Board of Trustees**

Bond Measure V  
Citizens' Oversight Committee



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# Letter From The Chair

February 17, 2012

As Chair of the Measure V Citizens' Oversight Committee, it is my pleasure to once again present the Measure V Annual Report to the Board of Trustees of the Santa Barbara Community College District. Upon passage of Measure V, the Board of Trustees established this committee in compliance with Education Code Section 15278 and Proposition 39. Our first meeting was held on November 6, 2008 and we have since met ten times. As the members of the Board are aware, one committee member and the student member ended their terms in 2011 and the committee membership has changed as of February 2012. I welcome the new members and wish to express my heartfelt thanks to the outgoing members.

As in the past, the meetings of the Citizens' Oversight Committee have been well-organized and informative. Information presented and reviewed at each meeting includes the most recent Financial Reports, updated Project Expenditure Summaries, and general Project Construction Updates. SBCC Staff and Administrators have been very helpful in providing timely information to the Committee as well as responding to the Committee's questions. As a Committee, we are excited about all of the projects completed, those currently under construction as well as those that are planned for the future. On behalf of the District, this Committee would like to express its gratitude to the voters of the community, without whom the Measure V Bond Construction Program would not exist.

It is our opinion, based upon the Committee's oversight activities and a review of the independent financial and performance audits, that the District is in compliance with the requirements of Article XIII A, Section 1(b)(3) of the California Constitution. With the presentation of this Annual Report, the Bond Oversight Committee members assure voters that Measure V Bond expenditures have been properly made and have been utilized for projects consistent with those identified in the bond measure. It is our sincere hope that you will find this Annual Report informative and comprehensive.

Sincerely,

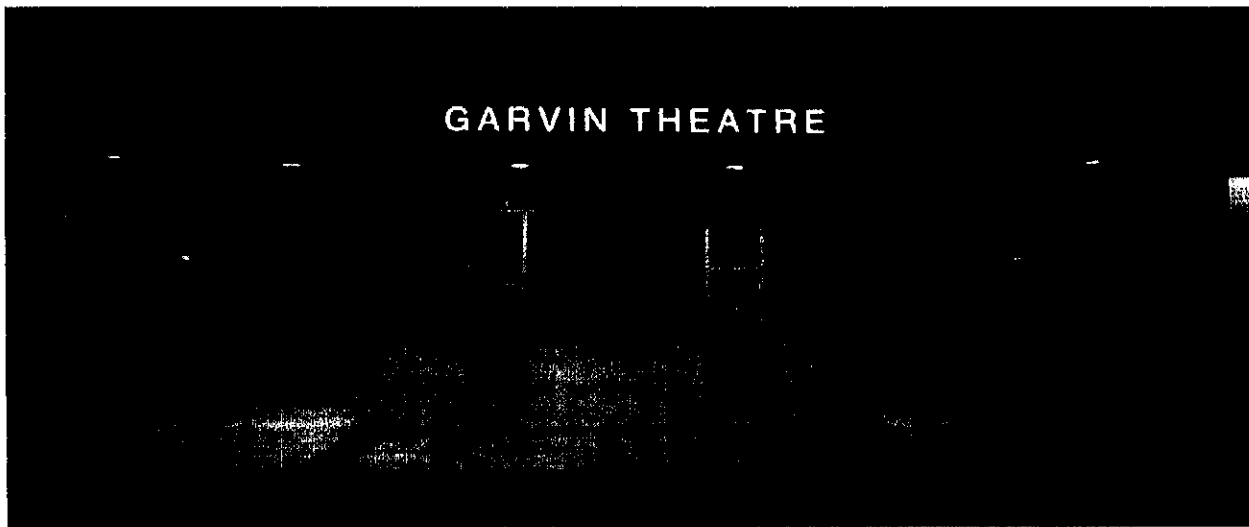


H. Edward Heron, Chairperson

Several projects were completed in 2011 (notably, the Central Library Renovation, General Dining Room Modernization, a Campus-wide Tile Replacement, and several Painting Projects), some were constructed throughout the year (Dental Clinic Modernization), a number of projects began construction, while still others were advanced through the planning and design process. The following pages present updates on projects that have been completed or are under construction, several of which were also featured in previous years' Annual Reports, as well as information on new and upcoming projects.

During 2011, there were three Citizens' Oversight Committee meetings, at which all aspects of ongoing and upcoming projects were presented. In addition, committee members visited some of the projects to see the progress first hand. The committee is excited about the progress to date and looks forward to completion of the projects underway. The committee also welcomes members of the public to its meetings, and encourages everyone to continue supporting SBCC and Measure V through completion of the projects and beyond.

The Citizens' Oversight Committee remains committed to the success of the College and will continue to monitor and provide reports on the status of the Measure V Bond Program. Through the judicious use of Measure V Funds, the Bond Program will allow SBCC to continue to educate students and prepare for the future by replacing and supplementing existing College infrastructure.





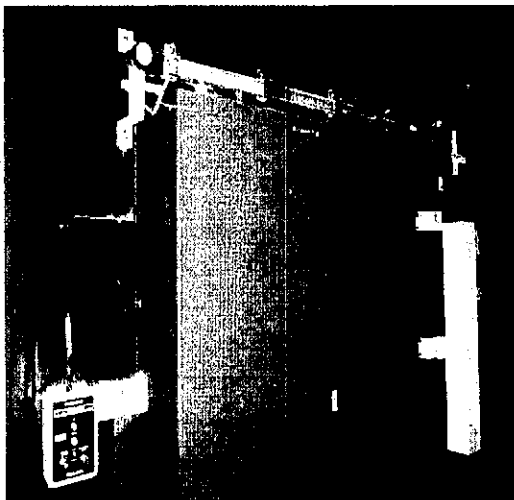
## **Bond Measure Overview**

Measure V is the Santa Barbara City College Construction Improvement Bond Measure passed on the June 3, 2008 ballot. Measure V asked voters to approve a \$77.2 million bond. Funding from the bond will help the College modernize technology, upgrade the deteriorating infrastructure of aging classroom and office buildings, and improve existing teaching and learning environments.

Measure V was presented under the provisions of Proposition 39, requiring that at least 55% of voters approve a measure for its passage. Measure V received over 70% approval.

## **Citizens' Bond Oversight Committee Members:**

Edward Heron, Business Organization (Chair) 2<sup>nd</sup> Term Ends November 2012  
Sally Green, Community-At-Large (Vice Chair) 1<sup>st</sup> Term Ends November 2012  
Lanny Ebenstein, Taxpayer Organization Outgoing Member  
Mark Levine, Senior Citizen Group & Foundation for SBCC 2<sup>nd</sup> Term Ends November 2012  
Lee Moldaver, Organization Supportive of the College 1<sup>st</sup> Term Ends November 2012  
Joe Bailey, Community-At-Large 1<sup>st</sup> Term Ends November 2012  
Ola Smith, Student Representative One Year Term Ends November 2012



## **Past Meetings:**

November 6, 2008	February 19, 2009
June 18, 2009	November 12, 2009
February 18, 2010	June 17, 2010
November 10, 2010	February 17, 2011
June 16, 2011	November 8, 2011
February 16, 2012	

## **Planned Meetings:**

June 21, 2012  
Schedule of future meetings available on [sbcc.edu](http://sbcc.edu) - Measure V website.

## **The District's Citizens' Oversight Committee**

As proposed to the voters, and as required by law, the Santa Barbara Community College District Board of Trustees has adopted a resolution establishing the Citizens' Oversight Committee. There are seven established seats on the committee, the required minimum under the laws governing the establishment and implementation of the Citizens' Oversight Committee.

**Members are selected based upon criteria established by Proposition 39:**

- One active member from each of the following: a business organization representing the business community located in the district; a senior citizens' organization; a bona-fide taxpayers' association; a support organization for the College; and, a student enrolled in a community college support group.
- Two members of the community at large.

Members of the Citizens' Oversight Committee are appointed for one- or two-year terms and may not serve more than two consecutive terms. Members serve on the committee without compensation. The committee is an advisory committee, and does not have a legal capacity independent from the District. The duties of the committee are to review expenditures, to inform the public, and to provide an Annual Report to the Board of Trustees in an open session at a Board meeting.

Meetings are scheduled as indicated on the previous page. Meetings are held in the Luria Conference and Press Center on the College's East Campus, 721 Cliff Drive, Santa Barbara. All Committee meetings are open to the public and are subject to the provisions of the Brown Act.

## **Period Covered By The Report**

To accurately reflect the information contained in the audited financial report, the analysis section of this report covers the time period from July 1, 2010 to June 30, 2011.

## **Report On Independent Financial And Performance Audits**

The District has received, and the Citizens' Oversight Committee has reviewed, the financial and performance audit for the Measure V Bond Construction Fund for the fiscal year ending June 30, 2011. Glenn, Burdette, Phillips & Bryson, an independent auditing firm, prepared the report to comply with Proposition 39 accountability within the California Constitution. The report stated:

"In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the Measure V Bond Construction Fund of the Santa Barbara Community College District as of June 30, 2011, and the results of its operations for the year then ended, in conformity with accounting principles generally accepted in the United States of America."

## **Financial Compliance Confirmation**

All expenditures authorized by Measure V have been reviewed by the Citizens' Oversight Committee to ensure that the money was spent only on improvement projects as required by Proposition 39. All funds expended from Measure V will be audited annually by an independent accounting firm.

The Citizens' Oversight Committee has reviewed expenditures and projects, and finds that the District is in compliance with Article XIII A Section 1(b)(3) of the California Constitution and consistent with the District's approved Measure V local bond measure.

## **Oversight Committee Activities**

Over the past year, the committee has been an active participant overseeing Measure V expenditures and activities. The committee has reviewed various budget and project issues. In accordance with Proposition 39, the committee has regularly reviewed bond expenditures, as well as the independently conducted financial and performance audits.

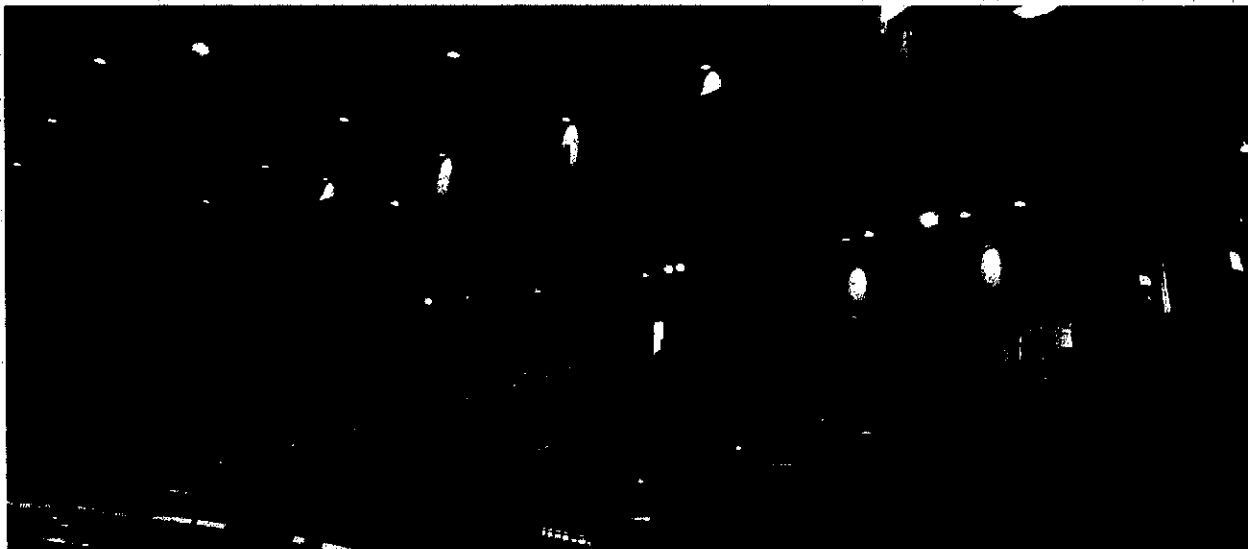
## **Measure V Project Status**

For purposes of reporting current activity on the projects contained within the Measure V Bond Program, this report covers the calendar year ending December 31, 2011.

## **Capital Construction Projects**

### **Drama/Music Modernization (Construction Completed):**

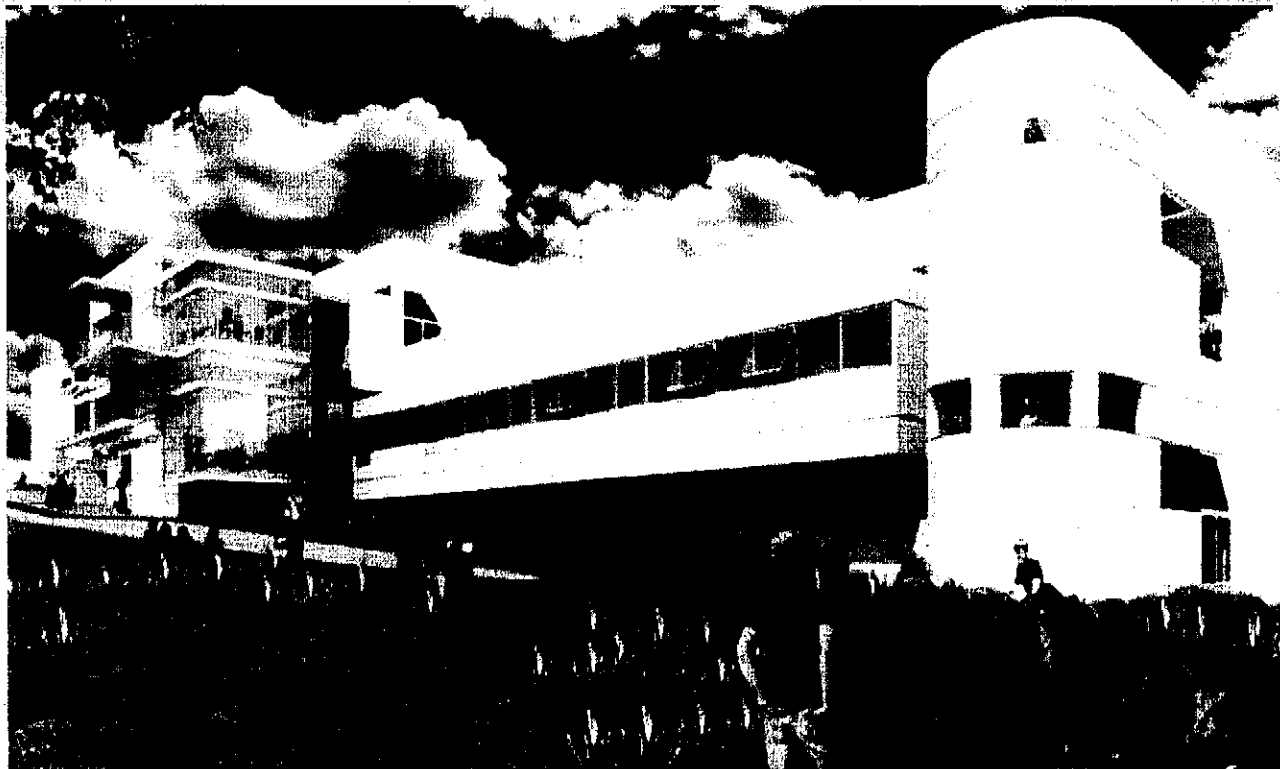
The Modernization of the Drama/Music Facility is partially state-funded. State funding for this project was secured in previous years. The remaining funding is from Measure V. During the reporting period, project construction continued throughout the year, with the project achieving substantial completion in December 2011. The project was bid in three packages: Core and Shell; Theater Specialties; and Audio/Visual, with one contractor ultimately being awarded all three packages. Construction began in June 2009. Several significant delays occurred on the project, consisting, in part, of unforeseen conditions related to underground conduits and rubble that conflicted with the drilling of the cast-in-drilled-hole concrete piles and additional unanticipated asbestos abatement, as well as necessary project modifications and certain delays caused by unforeseen circumstances, such as the insolvency of one of the major subcontractors. The Drama/Music Building and its two theaters are now in use and are great examples of the College's utilization of new technology in one of its primary teaching facilities.



## Capital Construction Projects (continued)

### Humanities Building Modernization (In Design)

As noted in last year's Annual Report, the anticipated State funding is not available for this project, therefore all funding is from Measure V. The College has accelerated the schedule for this project, and will begin construction in 2012. The College has hired an architect to perform planning, design, Department of State Architect (DSA) processing, and construction administration of the Humanities Modernization project. The Program Management Team, Design Team and College Administrators have met with representatives of the user groups throughout the design and permitting process. Final DSA approval was received in December 2011. This project is anticipated to begin construction during Summer 2012, improving existing teaching facilities, providing additional accessibility and restroom space, and replacing aging and outdated technology, along with other building-wide improvements.



## Capital Improvement Projects (continued)

### Campus Center Modernization (In Design)



As noted previously, the originally anticipated State funding is not available for this project. The College hired an architect to perform a building analysis of the existing facility. At the conclusion of the building analysis phase, it became apparent that the existing facility requires more substantial improvements than initially anticipated, including certain structural improvements. The College has subsequently hired an architect to perform a feasibility study, preliminary design, cost estimate, and preparation and submittal of a Final Project Proposal to the State Chancellor's Office. The purpose of this study is to determine whether the College will proceed with a modernization project, as initially anticipated, or if the building will require replacement. The Program Team and College Administrators have held meetings with user group representatives to begin planning for this project. The current plan is to begin construction upon completion of the Humanities Building Modernization. Depending on several factors, including completion of the Humanities Modernization in time to allow for adequate swing space, as well as funding, design, review and approval, this project is anticipated to begin construction no earlier than Summer 2014.

## Major Deferred Maintenance Projects

### Campus-Wide Energy Management System (Under Construction):

This Measure V-funded project has been implemented in two phases. Phase I involved the analysis of all major existing energy using equipment on campus. The end result of this phase was a complete design for Phase II implementation. Through the use of greater equipment control, and efficiency principles, the College will benefit from this project with lower energy costs and more efficient workspaces. Phase I was approved by the Board of Trustees on April 23, 2009, and the work was performed throughout the Summer and Fall 2009. Phase II was approved by the Board of Trustees on October 29, 2009. Implementation of Phase II began during 2010 and continued throughout the reporting period.

## **Major Deferred Maintenance Projects (continued)**

### **West Campus Snack Shop Remodel (Under Design):**

In order to increase customer flow capacity, the District is undertaking a project to improve the West Campus Snack Shop. The project will modify the current layout of the snack shop and will replace much of the equipment in the snack shop. The current kitchen will remain. The current plan is to remove indoor seating and improve outdoor seating areas. This project involves no State funding. Construction is anticipated to begin in late 2012.

### **Wake Center Cosmetology Conversion (Under Design):**

The current Cosmetology facility is currently located in an off-site location rented by the District. The project will relocate the Cosmetology Program to the Wake Center. The College has contracted with KBZ Architects to prepare the design documents. This project involves no State funding. Construction is anticipated to begin in 2013.

### **Learning Resource Center (LRC) Interior Improvements (Under Design):**

This project, which involves no State funding, will renovate the existing LRC and will improve layout, flow, and lighting. The project is under design by KBZ Architects and construction is anticipated to begin in 2012, with the work being completed throughout the summer, in order to reduce the impact on users.

### **Keyless Entry/Electronic Locks (Under Construction):**

The College has begun installing electronic locks and keyless entry systems throughout the campus. To date, the locks have been installed in portions of the Administration Building, the Physical Sciences Building, the Luria Conference and Press Center, and the Drama/Music Building. The next phase involves installation in the IDC, Student Services and additional areas within the Administration Building.



## Major Building Maintenance Projects (continued)

### Luria Conference and Press Center (Completed):



The Luria Conference and Press Center was partially funded by donations from the Luria Family and other donors. The remainder of the funding was from Measure V. This project involved demolition of the existing press box, and construction of three new buildings: a press box, coaches boxes, and conference rooms. The project involved replacement of the surrounding deteriorated paving with new concrete, installation of new handicap accessible viewing areas with companion benches, new handrails, new stadium signage, refinishing of the stadium walls, and a roof-top video area for sporting events.

### Pershing Park Softball Upgrades (Completed):

SBCC has a joint-use agreement with the City of Santa Barbara for the use of Pershing Park facilities for softball and baseball. The softball field improvements include grading the infield to improve drainage, replacement of dugouts, installation of bullpens and a batting cage, irrigation improvements, and accessibility improvements. The design was approved by the City Parks and Recreation Department, the Historical Landmarks Committee and the Building Department.

### La Playa Stadium Track and Field Replacement (Completed):



This project involved removal and replacement of the existing synthetic track and field surfaces. This facility is heavily used not only by the College, but also by the community at large. Resurfacing both the track and field have helped ensure an optimal environment for SBCC teams, Physical Education courses, and community members who use the stadium for walking, running, and exercise. The original construction schedule contemplated two separate facility closures, one over the summer break, and another over the winter break. By working closely with the contractor and subcontractors, the College was able to eliminate the second facility closure and completed the entire scope of work throughout the summer months, shaving several months off the original schedule. In 2011, the field was selected to receive a "Field of the Year Award" by the American Sports Builders Association.



## Major Deferred Maintenance Projects (continued)

### Ongoing Deferred Maintenance Projects:

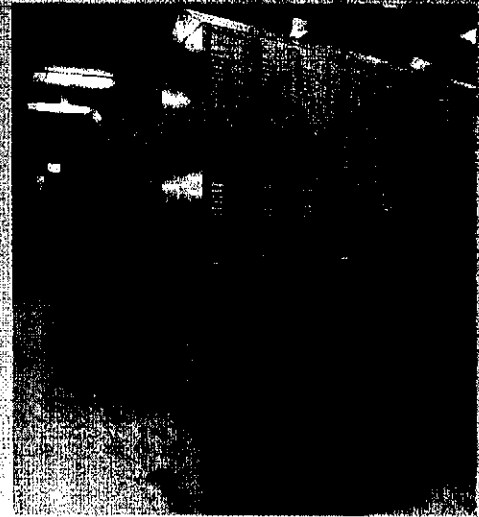
- Horticulture Area Improvements
- Patio Repair at Gym Entrance
- Emergency Phone System Improvements
- Disabled Access Upgrades

### Upcoming Major Deferred Maintenance Projects

- East Campus Snack Shop Improvements
- Physical Education Facility Interior Improvement Projects

### Completed Deferred Maintenance Projects

- Interdisciplinary Center – Paint Exterior
- Business Communications Building – Paint Exterior
- Kinko's Early Learning Center Improvements
- West Campus Parking Structure Pigeon Deterrence
- Student Services and Physical Science Buildings Trellis Repair and Refinish
- Campus-Wide Energy Management System (EMS) Ph 1
- ECC 1-15 – Replace HVAC Units
- Landscape Improvements at Ramps and La Playa Stadium
- Sports Pavilion Hallways and Lobby Interior Painting
- Handrail at Sports Pavilion Upper Walkway
- Physical Education Building – Paint Exterior
- Marine Diving Technology Boat Storage Area
- New Flooring in A-183 and Marine Diving Tech Classroom
- OE-180 Replace Heating System
- ESL Roofing Repair/Replacement
- ECC Roofing Repair
- Student Services Building Carpet Replacement
- Elevator Upgrades
- Wake Center Resurface Parking Lot
- Network Infrastructure Improvements
- ECC-1, 2, 3 Exterior Painting



This is a partial list of completed, ongoing, and upcoming projects. This list is not intended to be all-inclusive. The Measure V project list remains subject to change in order to best fit the ongoing needs of the College. The Administration and the Program Management Team will continue to ensure that the Citizens' Oversight Committee is informed of upcoming project changes as soon as information is available.

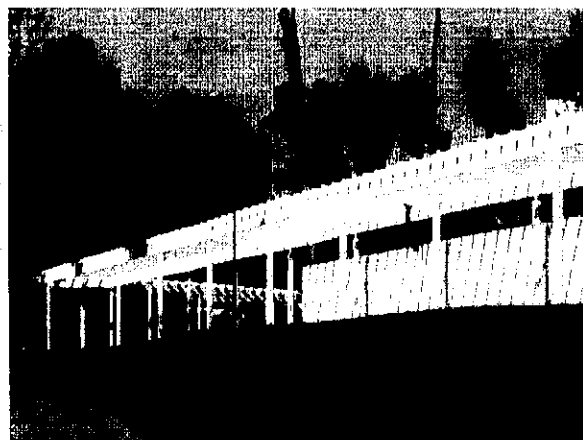
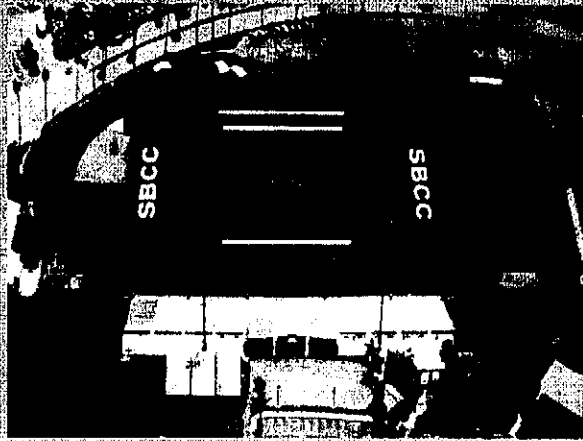
**Santa Barbara Community College District  
General Obligation Bond Expenditure Report  
July 1, 2010 – June 30, 2011**

**Santa Barbara Community College District  
Measure V Bond Construction Fund  
Balance Sheet  
June 30, 2011**

<b>Assets</b>	
Cash in county treasury	\$ 23,327,446
Accounts receivable	43,146
	<hr/>
Total assets	\$ 23,370,592
 <b>Liabilities and Fund Balance</b>	
<b>Liabilities:</b>	
Accounts payable and accrued liabilities	\$ 2,631,081
Due to other funds	8,426
	<hr/>
Total liabilities	2,639,507
 <b>Fund balance:</b>	
Restricted	20,731,085
	<hr/>
Total fund balance	20,731,085
	<hr/>
Total liabilities and fund balance	\$ 23,370,592

**Statement of Revenues, Expenditures, and  
Changes in Fund Balance  
June 30, 2011**

<b>Revenues:</b>	
Local revenue	\$ 223,992
	<hr/>
Total revenues	223,992
 <b>Expenditures:</b>	
Academic salaries	22,687
Employee benefits	2,764
Books and supplies	2,754
Services and other operating expenditures	144,055
Capital outlay	14,621,923
	<hr/>
Total expenditures	14,794,183
	<hr/>
Excess of expenditures over revenues	(14,570,191)
	<hr/>
Fund balance - beginning of year	35,301,276
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Fund balance - end of year	\$ 20,731,085



**Santa Barbara Community College District Board of Trustees**

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Mr. Luis Villegas – Vice President  
Ms. Marty Blum  
Ms. Marsha Croninger  
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Ms. Joan Livingston  
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